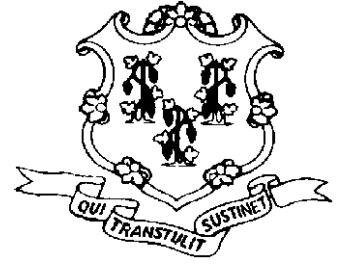




STATE OF CONNECTICUT
Department of Developmental Services



M.I.R.
Management Information Report
March 2012

Issued

May, 2012

by DDS Waiver Policy and Planning

DDS Management Information Report

Table of Contents

<u>Section</u>	<u>Page</u>
SECTION I: Services and Supports	1
A. Where People Live and How They Are Supported	1
B. Work and Day Services	3
C. Day Programs – Comparison of Employment vs. Non Employment Programs	4
D. Number of Individuals Who Self-Direct	5
E. Birth to Three Services	5
F. Active Individuals By Caseload Type	5
G. Family Support – Direct Support Services	6
H. Respite Utilization	7
Section II: Service Needs	8
A. Residential Waiting List	8
B. Residential Planning List	9
C. Future Planning Ageouts and High School Graduates	10
Section III: New Development Goals and Support Activity	11
A. Residential Waiting List Activities and Residential Ageouts	11
B. Underserved Consumers Receiving Annualized Residential Supports	12
C. Day AgeOuts and High School Grads	12
Section IV: Eligibility	13
Section V: Case Load by Age	14
A. Residential	14
B. Day/Work Services	15
Section VI: Home and Community Based Waiver	16
A. Enrollment	16
B. Federal Revenue	17
Section VII: Human Resource	18
A. DDS funded Position Count	18
B. DDS Abuse and Neglect Registry	19

SECTION I: Services and Supports

A. Where People Live and How They Are Supported STATEWIDE

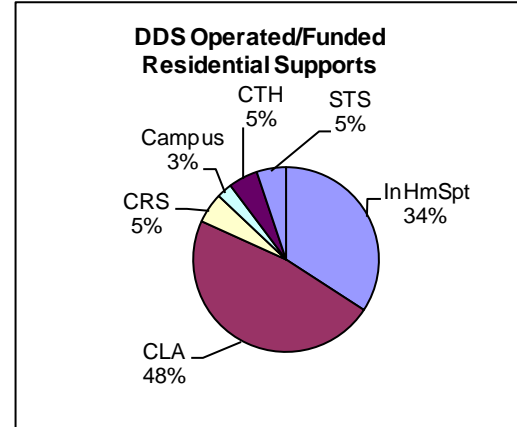
Total Clients: 15,768 **ΔYTD: +128**

(includes all active DDS clients)

Total Served: 20,857 **ΔYTD: +62**

(Includes active clients plus 5,089 non-DDS clients in Birth to Three programs)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support					
At Home	N/A	DDS	Private/ Other	Self* Direct	Total	% Total
Family Home	6,705		508	839	8,052	51.1%
Own Home (IL)**	322	245	913	211	1,691	10.7%
Sub-Total	7,027	245	1,421	1,050	9,743	61.8%
% Total	72.1%	2.5%	14.6%	10.8%	100%	
DDS Operated/Funded						
STS		407			407	2.6%
DDS Centers		214			214	1.4%
CLA		399	3,384		3,783	24.0%
CRS			420		420	2.7%
CTH			405		405	2.6%
Sub-Total		1,020	4,209		5,229	33.2%
% Total		19.5%	80.5%		100%	
Other State Agencies						
DMHAS			4		4	0.0%
DOC			4		4	0.0%
DCFCTO			61		61	0.4%
Sub-Total			69		69	0.4%
Other						
LTC/SNF/RCH (HA)			421		421	2.7%
Res. Schools			128		128	0.8%
Other			120		120	0.8%
Sub-Total			669		669	4.2%
Blank			58		58	0.4%
Grand Total	7,027	1,265	6,426	1,050	15,768	100.0%

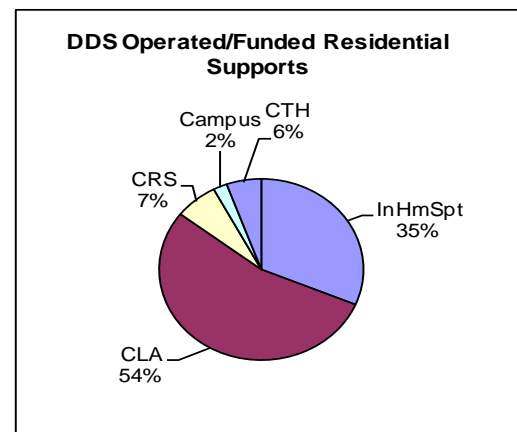


NORTH REGION

Total Clients: 5,347 **ΔYTD: +28**

(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support					
At Home	N/A	DDS	Private/ Other	Self* Direct	Total	% Total
Family Home	2,376		171	241	2,788	52.1%
Own Home (IL)**	131	97	259	45	532	9.9%
Sub-Total	2,507	97	430	286	3,320	62.1%
% Total	75.5%	2.9%	13.0%	8.6%	100.0%	
DDS Operated/Funded						
DDS Centers		57			57	1.1%
CLA		213	1,191		1,404	26.3%
CRS			177		177	3.3%
CTH			143		143	2.7%
Sub-Total		270	1,511	0	1,781	33.3%
% Total		15.2%	84.8%	0.0%	100.0%	
Other State Agencies						
DMHAS			2		2	0.0%
DOC			4		4	0.1%
DCFCTO			21		21	0.4%
Sub-Total			27	0	27	0.5%
Other						
LTC/SNF/RCH (HA)			106		106	2.0%
Res. Schools			40		40	0.7%
Other			52		52	1.0%
Subtotal			198		198	3.7%
Blank			21		21	0.4%
Grand Total	2,507	367	2,187	286	5,347	100.0%



*People who direct their own services

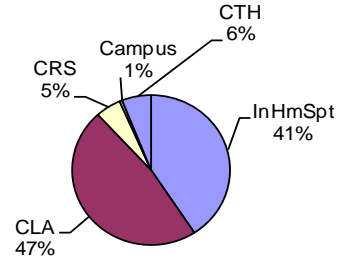
**Own Home includes individuals with services previously known as supported living

SOUTH REGION

Total Clients: 4,945 **ΔYTD: +56**
(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,058		158	284	2,500	50.6%
Own Home (IL)**	90	86	418	89	683	13.8%
Sub-Total	2,148	86	576	373	3,183	64.4%
% Total	67.5%	2.7%	18.1%	11.7%	100.0%	
DDS Operated/Funded						
DDS Centers		14			14	0.3%
CLA		164	1,041		1,205	24.4%
CRS			133		133	2.7%
CTH			152		152	3.1%
Sub-Total		178	1,326		1,504	30.4%
% Total		11.8%	88.2%		100.0%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			0		0	0.0%
DCFCTO			26		26	0.5%
Sub-Total			27		27	0.5%
Other						
LTC/SNF/RCH (HA)			157		157	3.2%
Res. Schools			28		28	0.6%
Other			38		38	0.8%
Sub-total			223		223	4.5%
Blank			8		8	0.2%
Grand Total	2,148	264	2,160	373	4,945	100.0%

DDS Operated/Funded Residential Supports

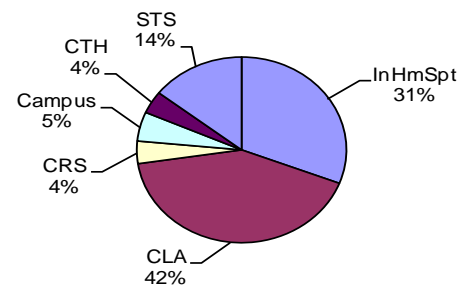


WEST REGION

Total Clients: 5,476 **ΔYTD: +44**
(includes all active DDS clients)

RESIDENTIAL STATUS						
Where People Live	How They Receive Support				Total	% Total
At Home	N/A	DDS	Private/ Other	Self* Direct		
Family Home	2,271		179	314	2,764	50.5%
Own Home (IL)**	101	62	236	77	476	8.7%
Sub-Total	2,372	62	415	391	3,240	59.2%
% Total	73.2%	1.9%	12.8%	12.1%	100%	
DDS Operated/Funded						
STS		407			407	7.4%
DDS Centers		143			143	2.6%
CLA		22	1,152		1,174	21.4%
CRS			110		110	2.0%
CTH			110		110	2.0%
Sub-Total		572	1,372		1,944	35.5%
% Total		29.4%	70.6%		100%	
Other State Agencies						
DMHAS			1		1	0.0%
DOC			0		0	0.0%
DCFCTO			14		14	0.3%
Sub-Total			15		15	0.3%
Other						
LTC/SNF/RCH (HA)			158		158	2.9%
Res. Schools			60		60	1.1%
Other			30		30	0.5%
Sub-total			248		248	4.5%
Blank			29		29	0.5%
Grand Total	2,372	634	2,079	391	5,476	100.0%

DDS Operated/Funded Residential Supports



*People who direct their own services

**Own Home includes individuals with services previously known as supported living

SECTION I: Services and Supports

B. Work and Day Services

STATEWIDE

DAY/WORK STATUS						
Kind of Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		577	1	141	719	4.6%
Group Supp. Emp.		3,273	97		3,370	21.4%
Sheltered Emp.		487	24		511	3.2%
Day Supp. Opt.		3,919	292	97	4,308	27.3%
Individ. Day Supp.		751	0	38	789	5.0%
Comp. Employment	344				344	2.2%
Other		52	1		53	0.3%
Sub-Total	344	9,059	415	276	10,094	64.0%
% Total	3.4%	89.7%	4.1%	2.7%	100.0%	
Educational and Developmental Services						
LEA		3,745			3,745	23.8%
Res School		21			21	0.1%
Birth to Three		18	3		21	0.1%
Other		93	45		138	0.9%
Sub-Total		3,877	48		3,925	24.9%
Other						
No Day Program	1,042				1,042	6.6%
Blanks	707				707	4.5%
Sub-Total	1,749				1,749	11.1%
Grand Total	2,093	12,936	463	276	15,768	100.0%

SOUTH REGION

DAY/WORK STATUS						
Day Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		228		72	300	6.1%
Group Supp. Emp.		1,074	13		1,087	22.0%
Sheltered Emp.		95			95	1.9%
Day Supp. Opt.		1,281	0	28	1,309	26.5%
Individ. Day Supp.		331	0	8	339	6.9%
Comp. Employment	103				103	2.1%
Other		7	1		8	0.2%
Sub-Total	103	3,016	14	108	3,241	65.5%
% Total	3.2%	93.1%	0.4%	3.3%	100.0%	
Educational and Developmental Services						
LEA		1,188			1,188	24.0%
Res School		6			6	0.1%
Birth to Three		1	2		3	0.1%
Other		5	18		23	0.5%
Sub-Total		1,200	20		1,220	24.7%
Other						
No Day Program	299				299	6.0%
Blanks	185				185	3.7%
Sub-Total	484				484	9.8%
Grand Total	587	4,216	34	108	4,945	100.0%

NORTH REGION

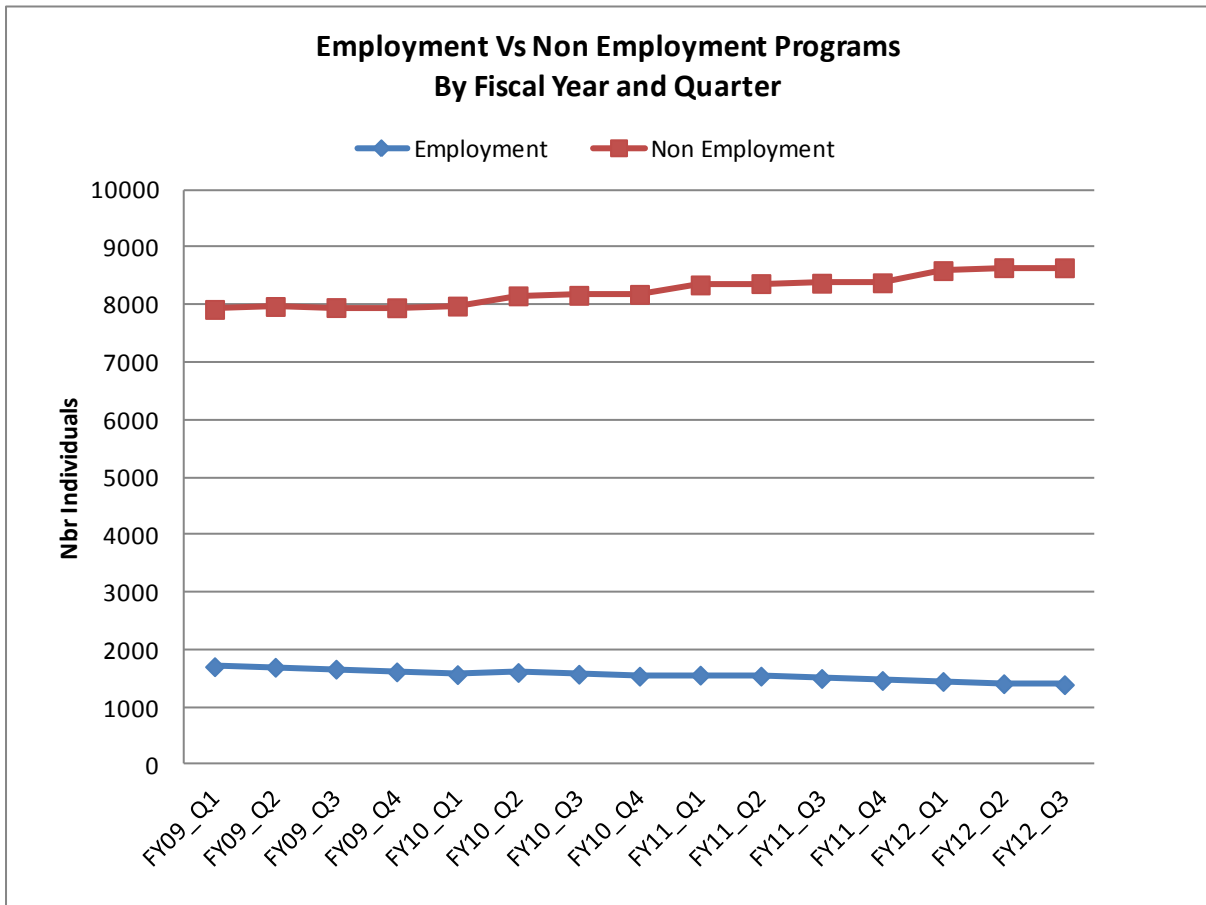
DAY/WORK STATUS						
Day Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		170	1	19	190	3.6%
Group Supp. Emp.		1,190	17		1,207	22.6%
Sheltered Emp.		227	2		229	4.3%
Day Supp. Opt.		1,223	51	44	1,318	24.6%
Individ. Day Supp.		234	0	15	249	4.7%
Comp. Employment	123				123	2.3%
Other		23	0		23	0.4%
Sub-Total	123	3,067	71	78	3,339	62.4%
% Total	3.7%	91.9%	2.1%	2.3%	100.0%	
Educational and Developmental Services						
LEA		1,328			1,328	24.8%
Res School		6			6	0.1%
Birth to Three		8	0		8	0.1%
Other		79	15		94	1.8%
Sub-Total		1,421	15		1,436	26.9%
Other						
No Day Program	356				356	6.7%
Blanks	216				216	4.0%
Sub-Total	572				572	10.7%
Grand Total	695	4,488	86	78	5,347	100.0%

WEST REGION

DAY/WORK STATUS						
Day Support	How They Receive Support					
	NA	Private	DDS	Self Direct	Total	% Total
Emp. And Day Supp.						
Ind. Supp. Emp.		179		50	229	4.2%
Group Supp. Emp.		1,009	67		1,076	19.6%
Sheltered Emp.		165	22		187	3.4%
Day Supp. Opt.		1,415	241	25	1,681	30.7%
Individ. Day Supp.		186	0	15	201	3.7%
Comp. Employment	118				118	2.2%
Other		22	0		22	0.4%
Sub-Total	118	2,976	330	90	3,514	64.2%
% Total	3.4%	84.7%	9.4%	2.6%	100.0%	
Educational and Developmental Services						
LEA		1,229			1,229	22.4%
Res School		9			9	0.2%
Birth to Three		9	1		10	0.2%
Other		9	12		21	0.4%
Sub-Total		1,256	13		1,269	23.2%
Other						
No Day Program	387				387	7.1%
Blanks	306				306	5.6%
Sub-Total	693				693	12.7%
Grand Total	811	4,232	343	90	5,476	100.0%

SECTION I: Services and Supports

C. Day Programs – Comparison of Employment vs. Non Employment Programs



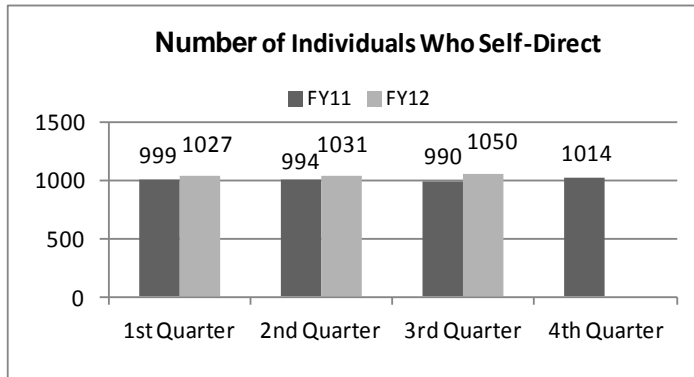
NOTE: Employment Programs consist of the following program types: Individual Supported Employment, Competitive Employment, Individualized Day Vocational.

Non-Employment Programs consist of the following program types: Group Supported Employment, Sheltered Workshops, Day Service Options, Individualized Day Retired or Non Vocational

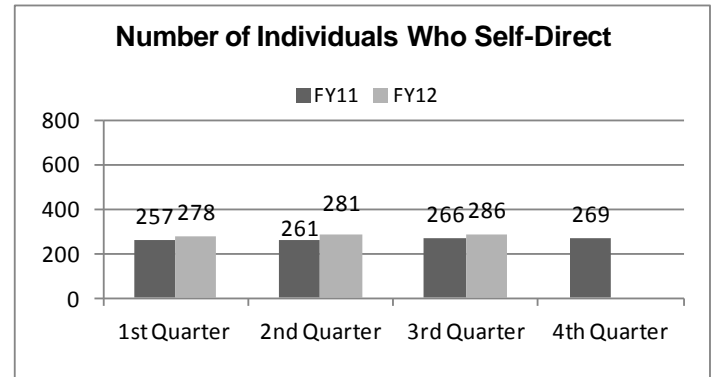
SECTION I: Services and Supports

- D. Number of Individuals Who Self-Direct
- E. Birth to Three Services
- F. Active Individuals By Caseload Type

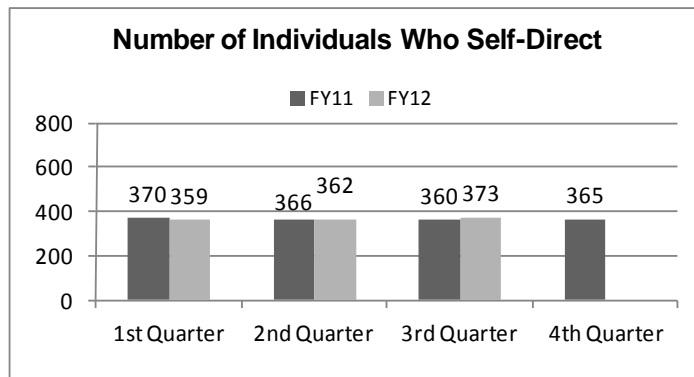
STATEWIDE



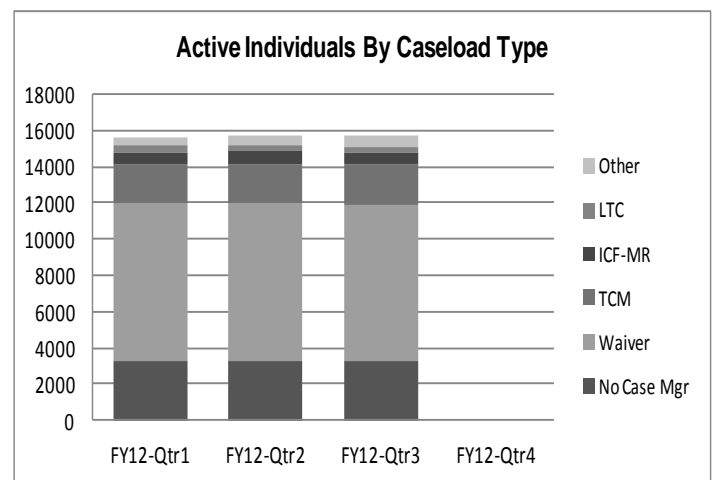
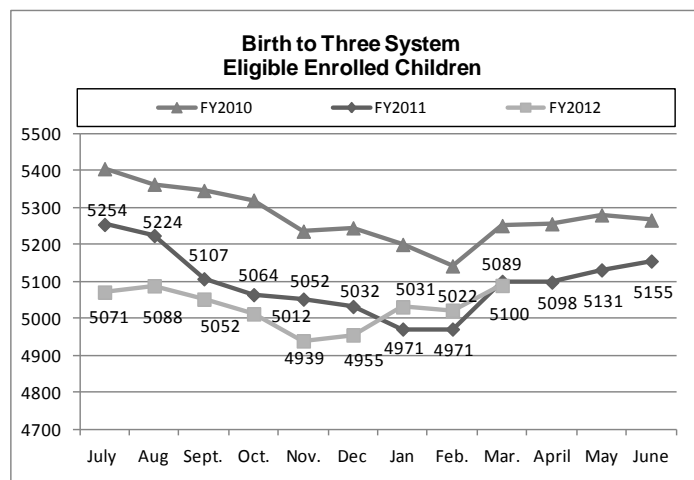
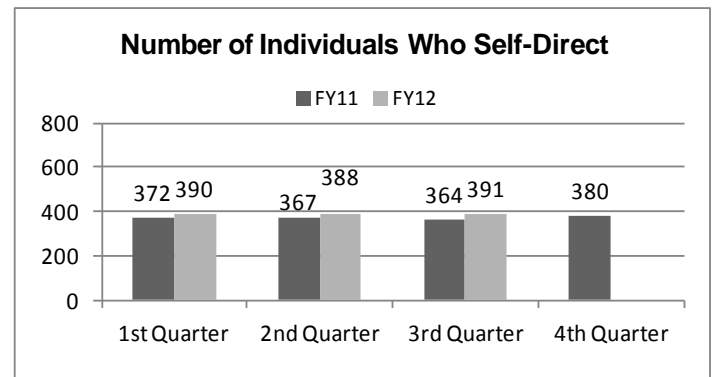
NORTH REGION



SOUTH REGION



WEST REGION



Statewide	AX No CM	Waiver	TCM	ICF-MR	LTC	Other	Total
YTD Diff	13	19	17	-15	-3	0	31

SECTION I: Services and Supports

G. Family Support – Direct Support Services

IFS Family Support - Statewide

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	15	177	42	165	42	161	0	0	99
Enrolled - Adult	19	207	34	176	28	216	0	0	81
Not Enrolled - Child	45	105	15	80	14	74	0	0	74
Enrolled - Child	16	20	4	35	4	25	0	0	24
Total	95	509	95	456	88	476	0	0	278

IFS Family Support - NR

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	5	38	28	44	16	20			49
Enrolled - Adult	3	13	10	30	10	20			23
Not Enrolled - Child	16	53	6	8	0	3			22
Enrolled - Child	0	15	4	24	0	5			4
Total	24	119	48	106	26	48	0	0	98

IFS Family Support - SR

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	5	57	5	29	20	52			30
Enrolled - Adult	12	115	15	68	17	116			44
Not Enrolled - Child	19	2	5	14	10	21			34
Enrolled - Child	15	1	0	8	4	19			19
Total	51	175	25	119	51	208	0	0	127

IFS Family Support - WR

	Qtr 1		Qtr 2		Qtr 3		Qtr 4		TOTAL NEW CASES YTD
Waiver Status	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	New Case	TOTAL served	
Not Enrolled - Adult	5	82	9	92	6	89			20
Enrolled - Adult	4	79	9	78	1	80			14
Not Enrolled - Child	10	50	4	58	4	50			18
Enrolled - Child	1	4	0	3	0	1			1
Total	20	215	22	231	11	220	0	0	53

SECTION I: Services and Supports**H. Respite Utilization**

STATEWIDE									
Respite Utilization Data - FY 2012									
Baseline Data - June 2011			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total	
Unduplicated Number Of Referrals	Under 18	364		Under 18	182	71	63	0	316
	Over 18	1126		Over 18	562	200	173	0	935
Unduplicated Number Of People Using Respite Beds	Under 18	352		Under 18	146	62	58	0	266
	Over 18	1030		Over 18	486	192	146	0	824

NORTH REGION									
Respite Utilization Data - FY 2012									
Baseline Data - June 2011			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total	
Unduplicated Number Of Referrals	Under 18	69		Under 18	77	8	5		90
	Over 18	231		Over 18	218	9	6		233
Unduplicated Number Of People Using Respite Beds	Under 18	71		Under 18	44	9	8		61
	Over 18	187		Over 18	147	21	8		176

SOUTH REGION									
Respite Utilization Data - FY 2012									
Baseline Data - June 2011			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total	
Unduplicated Number Of Referrals	Under 18	83		Under 18	37	14	7		58
	Over 18	274		Over 18	178	47	27		252
Unduplicated Number Of People Using Respite Beds	Under 18	76		Under 18	37	6	0		43
	Over 18	253		Over 18	178	19	5		202

WEST REGION									
Respite Utilization Data - FY 2012									
Baseline Data - June 2011			BY QUARTER	Q 1	Q 2	Q 3	Q 4	Total	
Unduplicated Number Of Referrals	Under 18	212		Under 18	68	49	51		168
	Over 18	621		Over 18	166	144	140		450
Unduplicated Number Of People Using Respite Beds	Under 18	205		Under 18	65	47	50		162
	Over 18	590		Over 18	161	152	133		446

Section II: Service Needs

A. Residential Waiting List

STATEWIDE	Emerg.	Pri. 1	Total
Home/IL (No Supports)	31	568	599
DYTD	6	44	50
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	2	79	81
CRS	0	10	10
CTH	2	16	18
Indiv Home Supp*	10	170	180
Sub-Total	14	275	289
DYTD	0	-57	-57
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	1	1	2
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	1	2
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	50	50
Other	0	16	16
Sub-Total	0	66	66
Total w/Sup. & Service	15	342	357
Grand Total	46	910	956
Change YTD	6	-8	-2

NORTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	8	172	180
DYTD	2	7	9
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	2	12	14
CRS	0	1	1
CTH	1	1	2
Indiv Home Supp*	3	35	38
Sub-Total	6	49	55
DYTD	-1	-38	-39
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	18	18
Other	0	7	7
Sub-Total	0	25	25
Total w/Sup. & Service	7	74	81
Grand Total	15	246	261
Change YTD	2	-28	-26

SOUTH REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	9	182	191
DYTD	1	19	20
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	8	8
CRS	0	0	0
CTH	0	1	1
Indiv Home Supp*	2	16	18
Sub-Total	2	25	27
DYTD	0	7	7
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	1	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	1	1
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	15	15
Other	0	4	4
Sub-Total	0	19	19
Total w/Sup. & Service	2	45	47
Grand Total	11	227	238
Change YTD	1	26	27

WEST REGION	Emerg.	Pri. 1	Total
Home/IL (No Supports)	14	214	228
DYTD	3	18	21
DDS Operated/Funded:	Emerg.	Pri. 1	Total
CLAs	0	59	59
CRS	0	9	9
CTH	1	14	15
Indiv Home Supp*	5	119	124
Sub-Total	6	201	207
DYTD	1	-26	-25
Other State Agencies:	Emerg.	Pri. 1	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	0	0	0
Other:	Emerg.	Pri. 1	Total
LTC/ICF/SNF/RCH	0	17	17
Other	0	5	5
Sub-Total	0	22	22
Total w/Sup. & Service	6	223	229
Grand Total	20	437	457
Change YTD	3	-6	-3

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs

B. Residential Planning List

STATEWIDE	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	866	341	1207
DYTD	-5	-17	-22
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	4	0	4
CTH	3	0	3
Indiv Home Supp*	195	20	215
Sub-Total	202	20	222
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	1	0	1
DCF/CTO	1	0	1
Sub-Total	3	0	3
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	8	4	12
Other	8	1	9
Sub-Total	16	5	21
Total Supports & Service	221	25	246
Grand Total	1087	366	1453
Change YTD	-4	-18	-22

NORTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	302	135	437
DYTD	-1	-8	-9
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	0	0	0
CTH	0	0	0
Indiv Home Supp*	84	11	95
Sub-Total	84	11	95
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	1	0	1
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	1	4
Other	5	1	6
Sub-Total	8	2	10
Total Supports & Service	93	13	106
Grand Total	395	148	543
Change YTD	-2	-9	-11

SOUTH REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	346	119	465
DYTD	-5	-7	-12
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CTH	1	0	1
Indiv Home Supp*	75	2	77
Sub-Total	78	2	80
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	0	0	0
DOC	0	0	0
DCF/CTO	1	0	1
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	3	2	5
Other	2	0	2
Sub-Total	5	2	7
Total Supports & Service	84	4	88
Grand Total	430	123	553
Change YTD	-7	-9	-16

WEST REGION	Pri. 2	Pri. 3	Total
Home/IL (No Supports)	218	87	305
DYTD	1	-2	-1
DDS Operated/Funded:	Pri. 2	Pri. 3	Total
CLAs	2	0	2
CTH	2	0	2
Indiv Home Supp*	36	7	43
Sub-Total	40	7	47
Other State Agencies:	Pri. 2	Pri. 3	Total
DMHAS	1	0	1
DOC	0	0	0
DCF/CTO	0	0	0
Sub-Total	1	0	1
Other:	Pri. 2	Pri. 3	Total
LTC/ICF/SNF/RCH	2	1	3
Other	1	0	1
Sub-Total	3	1	4
Total Supports & Service	44	8	52
Grand Total	262	95	357
Change YTD	5	0	5

*The term "Individualized Home Supports" has replaced the terms "Supported Living" and "Individual Support Habilitation" in both waivers

Section II: Service Needs**C. Future Planning Ageouts and High School Graduates****Residential Ageouts**

STATEWIDE	
DCF, LEA or ISA Funded	
FY 2013	98
FY 2014	90
FY 2015	86

NORTH REGION	
DCF, LEA or ISA Funded	
FY 2013	48
FY 2014	34
FY 2015	26

SOUTH REGION	
DCF, LEA or ISA Funded	
FY 2013	22
FY 2014	24
FY 2015	35

WEST REGION	
DCF, LEA or ISA Funded	
FY 2013	28
FY 2014	32
FY 2015	25

Grads and Day Ageouts

STATEWIDE		
	School Graduates	Ageouts
FY 2013	324	100
FY 2014	181	89
FY 2015	88	79

NORTH REGION		
	School Graduates	Ageouts
FY 2013	126	42
FY 2014	23	36
FY 2015	6	27

SOUTH REGION		
	School Graduates	Ageouts
FY 2013	95	27
FY 2014	84	26
FY 2015	7	21

WEST REGION		
	School Graduates	Ageouts
FY 2013	103	31
FY 2014	74	27
FY 2015	75	31

NOTE: The above numbers represent individuals identified within the Planning and Resource Allocation Team (PRAT) database as either Aging Out of DCF, LEA or ISA funded settings or due to Graduate from their School District. The Fiscal Year is based on the Fiscal Year funding identified in the PRAT database. Regions will continue to identify School Graduates and Ageouts throughout the year. These numbers will change over time especially those in the third year out (June 2015).

Section III: New Development Goals and Support Activity

A. Residential Waiting List Activities and Residential Ageouts

FY 12 - Third Quarter Report Waiting List Activities Service Activity July 1, 2011 - March 31, 2012	
Residential Waiting List Funding and Service Activity	
North Region	Actual YTD
Re-Use of Opportune Resources	13
Move Utilizing Portable Funding	67
South Region	Actual YTD
Re-Use of Opportune Resources	23
Move Utilizing Portable Funding	36
West Region	Actual YTD
Re-Use of Opportune Resources	16
Move Utilizing Portable Funding	35
Statewide	Actual YTD
Re-Use of Opportune Resources	52
Move Utilizing Portable Funding	138

Residential AgeOuts			
	Goal	Actual YTD	Difference
North Region			
Served with FY12 AO Funds	24	13	-11
Total	24	13	-11
South Region			
Served with FY12 AO Funds	18	4	-14
Total	18	4	-14
West Region			
Served with FY12 AO Funds	25	17	-8
Total	25	17	-8
Statewide			
Served with FY12 AO Funds	67	34	-33
Grand Total	67	34	-33

Five additional individuals were served with FY 11 funds during this period

Section III: New Development Goals and Support Activity

B. Underserved Consumers Receiving Annualized Residential Supports

C. Day AgeOuts and High School Grads

Annualized Resources For Underserved Consumers			
	Number	Total*	Pct
North Region	47	101	47%
South Region	0	21	0%
West Region	47	260	18%
Statewide	94	382	25%

Includes only consumers who are Priority E or 1 on PRAT Database

*Total represents all Pri E/1 underserved consumers active on the waiting list during the reporting quarter

High School Graduates and Age Out* Funding			
North Region	Goal	Actual YTD	Difference
June 2011 Grads Served w/FY12 Grad Funds	98	78	-20
June 2011 Age Outs Served w/FY12 Grad Funds	24	26	2
Total	122	104	-18
South Region	Goal	Actual YTD	Difference
June 2011 Grads Served w/FY12 Grad Funds	85	71	-14
June 2011 Age Outs Served w/FY12 Grad Funds	21	20	-1
Total	106	91	-15
West Region	Goal	Actual YTD	Difference
June 2011 Grads Served w/FY12 Grad Funds	71	71	0
June 2011 Age Outs Served w/FY12 Grad Funds	25	27	2
Total	96	98	2
Statewide	Goal	Actual YTD	Difference
June 2011 Grads Served w/FY12 Grad Funds	254	220	-34
June 2011 Age Outs Served w/FY12 Grad Funds	70	73	3
Grand Total	324	293	-31

*Individuals Aging out of DCF and LEA Services

Section IV: Eligibility

**Eligibility Inquiries And Determination
For Cumulative First - Third Quarters FY 12
July 1, 2011- March 31, 2012**

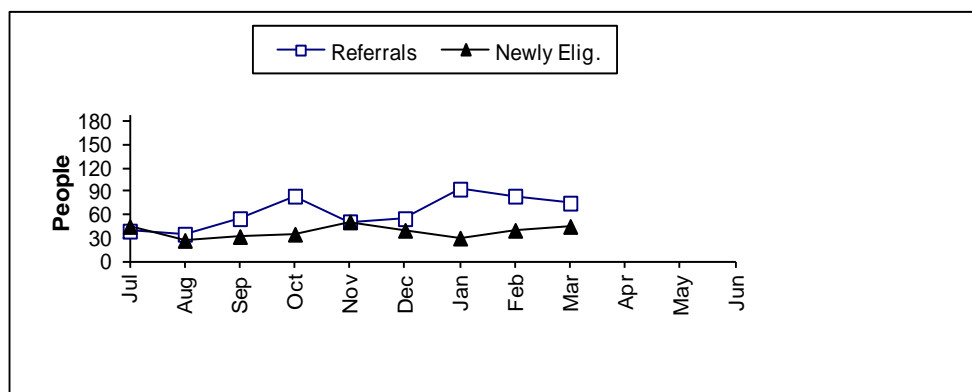
There Were 615 Inquiries To Eligibility Unit

**There Were 447 Eligibility Determinations
For Cumulative First - Third Quarters FY 12
July 1, 2011- March 31, 2012**

Note: Only 172 of 447 determination were from inquiries made during the quarters.

Age Range	Total	Eligible	% Eligible	Ineligible	% Ineligible
3-4.9	31	31	8.93%	0	0.00%
5-7	45	38	10.95%	7	7.00%
8-17.9	207	159	45.82%	48	48.00%
18-20.9	83	63	18.16%	20	20.00%
21-29	50	34	9.80%	16	16.00%
30-39	10	7	2.02%	3	3.00%
40-49	12	8	2.31%	4	4.00%
50-59	6	5	1.44%	1	1.00%
60-69	3	2	0.58%	1	1.00%
Totals	447	347	77.63%	100	22.37%

Monthly Referral/Eligibility Activity



Section V: Case Load by Age

A. Residential

DDS CLIENTS BY RESIDENTIAL PROGRAM AND AGE

March 2012 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAM HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	0	0	0	0	0	0	21	0	0	0	0	21	0%
3-5	0	0	0	0	0	0	0	0	0	0	0	0	0	157	0	0	0	0	157	1%
6-13	0	0	4	0	1	19	0	0	0	13	0	0	0	1,218	0	2	9	4	1,270	8%
14-17	0	0	39	0	4	14	0	0	0	36	0	0	0	1,005	0	2	15	2	1,117	7%
18-21	2	1	113	22	12	23	1	0	0	48	12	0	1	1,273	0	2	30	3	1,543	10%
22-34	25	29	611	162	79	5	10	1	2	26	335	10	63	2,506	0	6	15	24	3,909	25%
35-44	51	77	555	73	70	0	11	2	5	2	183	31	111	834	2	5	11	9	2,032	13%
45-54	174	141	936	95	111	0	42	1	10	2	202	77	170	644	2	4	8	8	2,627	17%
55-64	207	99	707	42	81	0	97	14	8	1	113	80	120	298	0	1	6	7	1,881	12%
65-74	113	43	299	21	34	0	84	9	15	0	58	32	47	78	0	2	4	1	840	5%
75+	49	9	120	5	13	0	94	5	10	0	19	15	12	18	0	0	2	0	371	2%
TOTAL	621	399	3,384	420	405	61	339	32	50	128	922	245	524	8,052	4	24	100	58	15,768	100%
PERCENT	4%	3%	21%	3%	3%	0%	2%	0%	0%	1%	6%	2%	3%	51%	0%	0%	1%	0%	100%	

Sub-Totals by Age Groups

Age Group	CAMPUS	DDS CLA	PRIV. CLA	CRS	CTH DDS LIC.	CTH-NOT DDS-LIC	SNF	ICF	RCH (HA)	PRIV. RES. SCH.	IND. LIV.	DDS HOME IS	PVT HOME IS	FAMILY HOME	MH FAC.	HOS.	OTHER	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	0	43	0	5	33	0	0	0	49	0	0	0	2,401	0	4	24	6	2,565	16%
Young Adults (Age 18-21)	2	1	113	22	12	23	1	0	0	48	12	0	1	1,273	0	2	30	3	1,543	10%
Adults (Age 22 and Over)	619	398	3,228	398	388	5	338	32	50	31	910	245	523	4,378	4	18	46	49	11,660	74%
Total Percent	621	399	3,384	420	405	61	339	32	50	128	922	245	524	8,052	4	24	100	58	15,768	100%

Note: Includes Active Only Clients. "0%" = less than one percent.

Campus (RC,TRS) = Regional or STS Campus Unit
 DDS CLA (CLA) = DDS Operated Community Living Arrangement
 Priv. CLA (CLA) = Non-DDS Operated Com. Living Arrangement
 CRS = Continuous Residential Supports (Formerly 24 Hr Supp Living)
 DDS Lic. CTH (CTH) = DDS licensed community training home
 CTH Not DDS Lic. (CTO) = Non-DDS licensed CTH (DCF foster home, etc.)
 SNF (SNF) = Skilled Nursing Facility*
 ICF (ICF) = Intermediate care facility/general*
 Res. Care Home (RCH) = Res. Care Home (Formerly Home for the Aged)*

* Long Term Care Facility (Licensed by the Dept. of Health Services)

Priv. Res. Sch. (SCR) = Private Residential School
 Ind. Liv. (IL) = Independent Living
 DDS Home IS = DDS Individual Support in Own Home
 Priv. Home IS = Private Individual Support in Own Home
 Family Home (FAM) = Family Home
 MH Fac. (MH) = Mental Health Facility
 Hos. (HOS) = Hospital Facility
 Other (COR,OR) = Other Res. Program (Correctional Facility, Other)
 No Data = No valid Residential Program in CAMRIS for Client

Section V: Case Load by Age
B. Day/Work Services

DDS CLIENTS BY DAY PROGRAM AND AGE

March 2012 Client Data

(Does Not Include Birth to Three Children Who Are Not Clients of the Department)

Age Group	DSO	SEI	GSE	SHE	IDV	IDN	IDR	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
0-2	0	0	0	0	0	0	0	9	4	0	0	0	0	0	8	21	0%
3-5	0	0	0	0	0	0	0	45	94	0	0	0	0	1	17	157	1%
6-13	0	1	0	0	0	0	0	51	1,140	2	0	0	7	7	62	1,270	8%
14-17	0	0	0	0	0	0	0	5	1,048	6	0	0	6	2	50	1,117	7%
18-21	33	7	40	1	12	4	0	4	1,334	17	0	1	8	14	68	1,543	10%
22-34	1,180	300	1,310	87	209	127	0	0	138	11	66	4	14	243	220	3,909	25%
35-44	642	150	675	95	46	58	0	0	2	3	95	12	5	171	78	2,032	13%
45-54	995	168	796	159	41	76	0	0	1	1	96	10	4	209	71	2,627	17%
55-64	860	73	426	119	23	83	0	0	1	1	68	3	1	147	76	1,881	12%
65-74	419	19	106	39	5	61	0	0	0	0	16	3	0	129	43	840	5%
75+	179	2	17	11	1	48	1	0	0	0	3	2	1	84	22	371	2%
TOTAL	4,308	720	3,370	511	337	457	1	114	3,762	41	344	35	46	1,007	715	15,768	100%
PERCENT	27%	5%	21%	3%	2%	3%	0%	1%	24%	0%	2%	0%	0%	6%	5%	100%	
Sub-Totals by Age Groups																	
Age Group	DSO	SEI	GSE	SHE	IDV	IDN	IDR	B23	LEA	SCD	EMP	EMX	OTH	NP*	NO DATA	TOTAL	PCT
Children (Age 0-17)	0	1	0	0	0	0	0	110	2,286	8	0	0	13	10	137	2,565	16%
Young Adults (Age 18-21)	33	7	40	1	12	4	0	4	1,334	17	0	1	8	14	68	1,543	10%
Adults (Age 22 and Over)	4,275	712	3,330	510	325	453	1	0	142	16	344	34	25	983	510	11,660	74%
Total Percent	4,308	720	3,370	511	337	457	1	114	3,762	41	344	35	46	1,007	715	15,768	100%
	27%	5%	21%	3%	2%	3%	0%	1%	24%	0%	2%	0%	0%	6%	5%		
<div> <div>DSO Day Support Options</div> <div>SEI Supported Employment - Individual Placement</div> <div>GSE Group Supported Employment</div> <div>SHE Sheltered Employment</div> <div>IDV Individualized Day Vocational</div> <div>IDN Individualized Day Non-Vocational</div> </div> <div> <div>LEA Public School</div> <div>SCD Res School</div> <div>EMP Employed</div> <div>EMX Unemployed</div> <div>OTH Other Day Program</div> </div> <div> <div>NP* No Program: Is either on the Waiting List or doesn't need/want a program at this time. Combines former No Day Program - Program Needed, Medical</div> </div>																	

Section VI: Home and Community Based Waiver

A. Enrollment

All HCBS Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CTH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	207	994	145	132	1,078	403	6	16	2,981
South	157	907	120	144	1,155	582	5	10	3,080
West	21	918	92	105	1,146	381	5	19	2,687
Mar 12 Total	385	2,819	357	381	3,379	1,366	16	45	8,748
June 2011	403	2,856	329	398	3,384	1,365	12	41	8,788
ΔYTD	-18	-37	28	-17	-5	1	4	4	-40

Comprehensive Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CTH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	207	987	132	104	90	201	0	5	1,726
South	157	905	99	128	72	264	2	7	1,634
West	21	917	80	82	91	147	3	7	1,348
Mar 12 Total	385	2,809	311	314	253	612	5	19	4,708
June 2011	403	2,837	277	340	231	622	4	17	4,731
ΔYTD	-18	-28	34	-26	22	-10	1	2	-23

Individual and Family Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CTH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	0	7	13	27	951	200	6	11	1,215
South	0	2	21	16	1,044	316	3	3	1,405
West	0	1	12	23	995	231	2	12	1,276
Mar 12 Total	0	10	46	66	2,990	747	11	26	3,896
June 2011	0	19	52	58	3,107	742	8	24	4,010
ΔYTD	0	-9	-6	8	-117	5	3	2	-114

Employment and Day Support Waiver Enrollees

Region	DDS CLA	Private CLA	CRS*	CTH	Family Home	Own Home w/ Supports	RCH	Other	Total
North	0	0	0	1	37	2	0	0	40
South	0	0	0	0	39	2	0	0	41
West	0	0	0	0	60	3	0	0	63
Mar 12 Total	0	0	0	1	136	7	0	0	144
June 2011	0	0	0	0	46	1			47
ΔYTD	0	0	0	1	90	6	0	0	97

Data Source: CAMRIS 3/12

NOTE 1: IFS Waiver enrollees reported as living in CLAs and CTHs are pending transfer to the Comprehensive Waiver.

NOTE 2: "Own Home w/Supports" combines "Supported Living" and "Own Home(IL)" to show waiver enrollees with in-home supports.

NOTE 3: "CRS" (Continuous Residential Supports) is a new Residential Type whose consumers were formerly included as waiver enrollees with in-home supports.

Section VI: Home and Community Based Waiver

B. Federal Revenue

Actual v. Projected Revenue - FY 2012 Third Quarter (Millions of Dollars)					
	SFY 11	SFY 12	SFY 12	SFY 12	
	Actual Revenue	EOY Projected	YTD Actual Revenue	Difference Projected vs Actual	% of Revenue Received
Waiver	\$386.36	\$324.39	\$250.12	\$74.27	77.11%
ICF/MR	\$109.95	\$109.66	\$82.85	\$26.81	75.55%
TCM	\$10.24	\$4.62	\$3.23	\$1.39	69.95%
B-3	\$8.60	\$4.96	\$5.71	-\$0.76	115.27%
TOTAL Billing	\$515.15	\$443.62	\$341.92	\$101.70	77.07%

Actual v. Projected Revenue - FY 2012 Third Quarter (Millions of Dollars)					
	SFY 11	SFY 12	SFY 12	SFY 12	
	Actual Revenue	EOY Projected	YTD Actual Revenue	Difference Projected vs Actual	% of Revenue Received
Autism Waiver	\$0.00	\$0.00	\$0.00	\$0.00	0.00%
Comp Waiver	\$315.82	\$287.42	\$212.84	\$74.58	74.05%
IFS Waiver	\$70.54	\$34.94	\$36.69	-\$1.75	105.02%
Supports Waiver	\$0.00	\$2.02	\$0.59	\$1.44	28.90%
Total Waiver	\$386.36	\$324.39	\$250.12	\$74.27	77.11%
Public ICF/MR	\$109.95	\$109.66	\$82.85	\$26.81	75.55%
Targeted Case Mgmt.	\$10.24	\$4.62	\$3.23	\$1.39	69.95%
Birth to Three	\$8.60	\$4.96	\$5.71	-\$0.76	115.27%
TOTAL Billing	\$515.15	\$443.62	\$341.91	\$101.70	77.07%

Section VII: Human Resource

A. DDS funded Position Count

Permanent Full Time Position Count (General Funds)						
Region	Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	819	667	20	25	0	107
South	731	590	8	26	0	107
West	646	511	2	24	0	109
STS	1261	952	5	65	0	239
Central Office	136	110	0	1	0	25
Totals	3593	2830	35	141	0	587

Permanent Part Time Position Count (General Funds)						
Region	Funded	Active	Leave with Pay	Leave w/o Pay & WC	Durational	Vacant
North	364	293	4	12	0	55
South	335	258	2	16	0	59
West	239	201	0	12	0	26
STS	220	115	1	11	0	93
Central Office	2	0	0	0	0	2
Totals	1160	867	7	51	0	235

Other General Fund Positions - Filled						
Type	North	South	West	STS	CO	Total
Temporary	3	1	1	0	0	5
General Workers	33	23	3	111	0	170
Substitutes	0	0	0	0	0	0
Retirees	0	0	1	0	2	3
Inst. Fire	0	0	0	7	0	7
Per Diems	19	14	5	4	6	48

Section VII: Human Resources

B. DDS Abuse and Neglect Registry

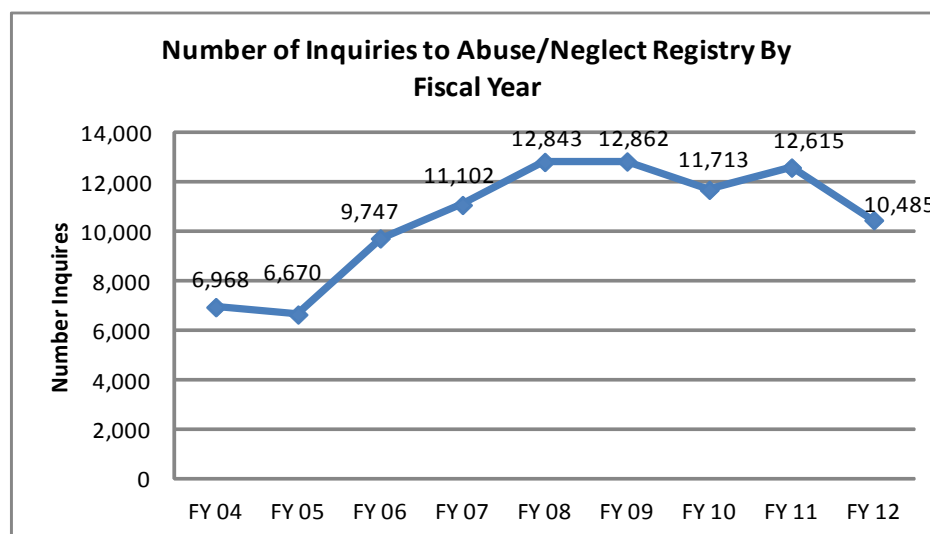
DDS Abuse and Neglect Registry Quarterly Report

January - March 2012

Registry Notifications Received							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Notifications This Quarter	20	16	80%	4	20%	0	0%
Notifications as of 12/31/11	667	538	81%	129	19%	0	0%
Total Cumulative Notifications *	687	554	81%	133	19%	0	0%

Disposition of Registry Notifications							
	Total	Private Sector		Public Sector		Self Direct	
		Nbr	Pct	Nbr	Pct	Nbr	Pct
New Names on Registry This Quarter	3	3	100%	0	0%	0	0%
Names on Registry as of 12/31/11	111	84	76%	27	24%	0	0%
Closed - Arbitration & Legal Proceedings	80	20	25%	60	75%	0	0%
Closed - Do not meet Statutory Criteria	346	306	88%	40	12%	0	0%
Total Completed Cases*	540	413	76%	127	24%	0	0%

*Represents cumulative data from the beginning of the Abuse/Neglect Registry



Inquiries made in Current Fiscal Year	
Number Inquiries in Current Quarter	Number of Inquiries Year to Date
4,152	10,485